Sheet 1 of 1

Form PTO-1449

#### INFORMATION DISCESSED **CITATION** IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 360322000100	Application Number 09/760,068		
Applicant			
Applicant  Christopher S. HELGESON et al.  Filing Date January 12, 2001  Group Art Unit 2121-2457			
Filing Date January 12, 2001	Group Art Unit 2121-2157		
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#### **U.S. PATENT DOCUMENTS**

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
MMM	1.	9/26/2000	6,125,363	Buzzeo et al.			

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## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

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Docket Number	360322000100	09/760,068					
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	Christopher S.	. HELGESON et al.					
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Mailing Date	June 12, 2001						

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Sheet 1 of 1 Application Number 09/760,068 Docket Number 360322000100 Form PTO-1449 Applicants INFORMATION DISCLOSURE CATATION Christopher S. HELGESON, et al. ÎN AN AP Group Art Unit 227 215 Filing Date January 12, 2001 (Use several sheets if necessary) Mailing Date November 5, 2003 U.S. PATENT DOCUMENTS Filing Date If Document No. Subclass Ref. Date Name Class Examiner Appropriate Initials No. FOREIGN PATENT DOCUMENTS Translation Ref. Subclass Examiner Date Document No. Country Class YES NO **Initials** No. 9/16/1999 -WO 99/46662 **PCT** OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.) Ref. Title Examiner Initials No. International Search Report mailed on 10/21/2003, for PCT patent application no. PCT/US01/00982 filed on 1/12/2001, 8 pgs. M. Buffa et al., "Smart Interface-to-Device Architecture for HMI", IEEE 10/12/1999, ISBN: 0-7803-5731-0, pp. 1063-1068. V. Jagannathan et al., "Workshop Report on Integrating XML & Distributed Object Technologies", XML & DOT report, IEEE 10\(\)12/1999, ISBN: 0-7695-0365-9, pp. 291-296. "Multi-modal Data Access", research disclosure, Kenneth Mason Publications, GB, no. 426, 10/1999. ISSN: 0374-4353, pp. 1393-1396. 6۱/ Gopalan Suresh Raj, "Enterprise JaveBeans-Part 1", retrieved from Internet: http://members.trpod.com/, 9/8/2003, 32 pgs. 7. G. Smith et al., "A Case for Meta-Interworking: Projecting COBRA Meta-data into COM", IEEE Comp. Soc. US, 11/23/1998, pp. 242-253. J. Sutherland, "The Emergence of a Business Object Component Architecture", IEEE Comp. Soc. US, 6/16/1999, pp. 330-340. RECEIVED NOV 1 0 2003 **Technology Center 2100** 

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